

| General information about company | |
|--|--------------------------|
| Scrip code | 513472 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | |
| Name of the company | SIMPLEX CASTINGS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|--------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 9 | 3466873 | | | 3466873 | 56.54 | 3466873 | | 3466873 | 56.54 |
| (B) | Public | 3046 | 2664327 | | | 2664327 | 43.46 | 2664327 | | 2664327 | 43.46 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 3055 | 6131200 | | | 6131200 | 100 | 6131200 | | 6131200 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 56.54 | | | | | 3466873 |
| (B) | Public | | | | 43.46 | | | | | 2449921 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 5916794 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 6 | 3173273 | | | 3173273 | 51.76 | 3173273 | | 3173273 | 51.76 |
| (d) | Any Other (specify) | 3 | 293600 | | | 293600 | 4.79 | 293600 | | 293600 | 4.79 |
| Sub-Total (A)(1) | | 9 | 3466873 | | | 3466873 | 56.54 | 3466873 | | 3466873 | 56.54 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 9 | 3466873 | | | 3466873 | 56.54 | 3466873 | | 3466873 | 56.54 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 2833 | 1061623 | | | 1061623 | 17.32 | 1061623 | | 1061623 | 17.32 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 11 | 580516 | | | 580516 | 9.47 | 580516 | | 580516 | 9.47 |
| (e) | Any Other (specify) | 202 | 1022188 | | | 1022188 | 16.67 | 1022188 | | 1022188 | 16.67 |
| Sub-Total (B)(3) | | 3046 | 2664327 | | | 2664327 | 43.46 | 2664327 | | 2664327 | 43.46 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 3046 | 2664327 | | | 2664327 | 43.46 | 2664327 | | 2664327 | 43.46 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 3055 | 6131200 | | | 6131200 | 100 | 6131200 | | 6131200 | 100 |
| Total (A+B+C) | | 3055 | 6131200 | | | 6131200 | 100 | 6131200 | | 6131200 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 51.76 | | | | | 3173273 |
| (d) | | | | 4.79 | | | | | 293600 |
| Sub-Total (A) (1) | | | | 56.54 | | | | | 3466873 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 56.54 | | | | | 3466873 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 17.32 | | | | | 946217 |
| (a(ii)) | | | | 9.47 | | | | | 580516 |
| (e) | | | | 16.67 | | | | | 923188 |
| Sub-Total (B) (3) | | | | 43.46 | | | | | 2449921 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 43.46 | | | | | 2449921 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 5916794 |
| Total (A+B+C) | | | | 100 | | | | | 5916794 |

| Individuals/Hindu undivided Family | | | | | | | |
|---|------------|-----------------------|---------------------|-----------------------|-------------|------------------|--------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | KETAN SHAH | JAYSHREE SANJIV HARIA | SANGEETA KETAN SHAH | KISAN RATILAL CHOKSEY | PIYUSH SHAH | USHA PIYUSH SHAH | G GOPALSWAMY |
| PAN (II) | AUHPS9944A | AANPH7764F | BLLPS3541K | AABPC4873G | AKUPS8026Q | AMEPS7788R | AQMPS7541J |
| No. of fully paid up equity shares held (IV) | 2354415 | 38300 | 773797 | 3500 | 3240 | 21 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2354415 | 38300 | 773797 | 3500 | 3240 | 21 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 38.4 | 0.62 | 12.62 | 0.06 | 0.05 | 0 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 2354415 | 38300 | 773797 | 3500 | 3240 | 21 | 0 |
| Class eg:y | | | | | | | |
| Total | 2354415 | 38300 | 773797 | 3500 | 3240 | 21 | 0 |
| Total as a % of Total Voting rights | 38.4 | 0.62 | 12.62 | 0.06 | 0.05 | 0 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 38.4 | 0.62 | 12.62 | 0.06 | 0.05 | 0 | 0 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| | | | | | | | |

| | | | | | | | |
|---|----------|----------------|----------------|----------------|----------------|----------------|----------------|
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 2354415 | 38300 | 773797 | 3500 | 3240 | 21 | 0 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group |

| Individuals/Hindu undivided Family | |
|---|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 3173273 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3173273 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 51.76 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 3173273 |
| Class eg:y | |
| Total | 3173273 |
| Total as a % of Total Voting rights | 51.76 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 51.76 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | |
| As a % of total Shares held (b) | |

| | |
|---|---------|
| Number of equity shares held in dematerialized form (XIV) | 3173273 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |
| Shareholder type | |

| Any Other (specify) | | | | |
|---|---|--------------------------------|----------------------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | Sim Prabha Estates & Trading Co Pvt Ltd | Hem Holdings & Trading Limited | Prabha Plantationa Pvt Ltd | |
| PAN (II) | AAACP2187J | AAACH1347Q | AAACP2511N | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 237500 | 48700 | 7400 | 293600 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 237500 | 48700 | 7400 | 293600 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.87 | 0.79 | 0.12 | 4.79 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 237500 | 48700 | 7400 | 293600 |
| Class eg:y | | | | |
| Total | 237500 | 48700 | 7400 | 293600 |
| Total as a % of Total Voting rights | 3.87 | 0.79 | 0.12 | 4.79 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 3.87 | 0.79 | 0.12 | 4.79 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
|---|----------------|----------------|----------------|--------|
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 237500 | 48700 | 7400 | 293600 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|---|--------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | Yashkumar Poonamchand Golechha | Click here to go back |
| PAN (II) | AACFY1516B | Total |
| No. of fully paid up equity shares held (IV) | 250000 | 250000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 250000 | 250000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.08 | 4.08 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 250000 | 250000 |
| Class eg:y | | |
| Total | 250000 | 250000 |
| Total as a % of Total Voting rights | 4.08 | 4.08 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 4.08 | 4.08 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 250000 | 250000 |
| Reason for not providing PAN | | |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
|------------------------------|--|--|

| Any Other (specify) | | | | | | | |
|---|----------|----------|---------------------------|------------------|------------------|---|--|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | IEPF | HUF | Non-Resident Indian (NRI) | Clearing Members | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | | | | | | AEQUITAS INVESTMENT CONSULTANCY PRIVATE LIMITED | AMRITRASHI SUPPLIERS PRIVATE LIMITED |
| PAN (II) | | | | | | AAKCA3641G | AAKCA2787P |
| No. of the Shareholders (I) | 1 | 79 | 66 | 3 | 53 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 77369 | 133871 | 246370 | 1836 | 562742 | 115024 | 75000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 77369 | 133871 | 246370 | 1836 | 562742 | 115024 | 75000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.26 | 2.18 | 4.02 | 0.03 | 9.18 | 1.88 | 1.22 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 77369 | 133871 | 246370 | 1836 | 562742 | 115024 | 75000 |
| Class eg:y | | | | | | | |
| Total | 77369 | 133871 | 246370 | 1836 | 562742 | 115024 | 75000 |
| Total as a % of Total Voting rights | 1.26 | 2.18 | 4.02 | 0.03 | 9.18 | 1.88 | 1.22 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 1.26 | 2.18 | 4.02 | 0.03 | 9.18 | 1.88 | 1.22 |

| Number of Locked in shares (XII) | | | | | | | |
|---|-------|--------|--------|------|--------|--------|-------|
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 77369 | 133771 | 150070 | 1836 | 560142 | 115024 | 75000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | |
|---|--|--|---------------------------------------|
| Serial No. | 8 | 9 | |
| Category | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | GLORIOUS HOLDINGS PRIVATE LIMITED | KLB SECURITIES PVT LTD | Click here to go back |
| PAN (II) | AACCG4244K | AAACK7991D | Total |
| No. of the Shareholders (I) | 1 | 1 | 202 |
| No. of fully paid up equity shares held (IV) | 72000 | 67503 | 1022188 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 72000 | 67503 | 1022188 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.17 | 1.1 | 16.67 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 72000 | 67503 | 1022188 |
| Class eg:y | | | |
| Total | 72000 | 67503 | 1022188 |
| Total as a % of Total Voting rights | 1.17 | 1.1 | 16.67 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 1.17 | 1.1 | 16.67 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| | | | |

| | | | |
|---|-------|-------|--------|
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 72000 | 67503 | 923188 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* : Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence